PAGE 1 / 34

Image# 201511209003537976

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

TORIW 3X	For Other Than An A	Authorized Committe	ee		Office Use Only
1. NAME OF	TYPE OR PRINT ▼	Example: If typir	ng. type	1000 4145	COO OOO OTHY
COMMITTEE (in full)	·	over the lines.	.9, 1, p =	12FE4M5	
Securities Industry an	d Financial Markets	s Association Polit	ical Actio	n Committ	ee
ADDRESS (number and street)	1101 New York Avenue,	, N W			
V	8th Floor		1 1 1 1		
Check if different than previously reported. (ACC)	Washington			DC	20005
2. FEC IDENTIFICATION N	IUMBER ▼	CITY A	5	STATE 🛦	ZIP CODE ▲
C C00431312	3.		IEW N) OR	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	Report	Feb 20 (M2)	May 20 (M5)	Aug	20 (M8) X Nov 20 (M11 (Non-Election Year Only)
(a) Quarterly Reports:	Due On:	Mar 20 (M3)	lun 20 (M6)	Sep	20 (M9) Dec 20 (M12 (Non-Election Year Only)
April 15		Apr 20 (M4)	lul 20 (M7)	Oct 2	20 (M10) Jan 31 (YE)
Quarterly Report (July 15	(c) 12-Day	Primary (12P)	General ((12G) Runoff (12R)
Quarterly Report ((Q2) PRE-Election Report for the	e: Convention (12C)	Special (12S)
October 15 Quarterly Report (Q3)			1	
January 31 Year-End Report (YE) Ele	ection on	D D /	Y	in the State of
July 31 Mid-Year Report (Non-electi Year Only) (MY)	POST-Election	,	G)	Runoff (3	0R) Special (30S)
Termination Repor	Report for the	. M ■ M /	D - D /	Y	in the
	Ele	ection on			State of
5. Covering Period 1	0 01 201	15 through	10	31/	2015
I certify that I have examined t	this Report and to the bes	t of my knowledge and b	pelief it is tru	e, correct and	I complete.
Type or Print Name of Treasur	•				<u> </u>
Signature of Treasurer Mr.	Kenneth E. Bentsen Jr.	[Electronically	Filed] D	ate 11	/ D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erro	neous or incomplete inform	ation may subject the norm	son sianina th	is Report to th	ne nenalties of 2 LLS C 8/27a
Office	neodo, or incomplete intomi	anon may subject the pers	on signing th	is riepoit to th	
Use Only					FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2015 2015 Report Covering the Period: 10 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 147691.99 January 1, 2015 (b) Cash on Hand at 104303.23 Beginning of Reporting Period..... 325553.41 29917.83 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 134221.06 473245.40 6(a) and 6(c) for Column B)..... 34392.55 373416.89 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 99828.51 99828.51 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: 10	01 2015 To:	10 31 2015
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	24495.28	245739.29
(i) Itemized (use Schedule A)	21166.26	
(ii) Unitemized(iii) TOTAL (add	30.00	18975.76
Lines 11(a)(i) and (ii)	24525.28	264715.05
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	5000.00	57000.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)▶	29525.28	321715.05
. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
. All Loans Received	0.00	0.00
. All Loans Neceived		
. Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	392.55	3463.36
. Refunds of Contributions Made		
to Federal Candidates and Other		
Political Committees	0.00	375.00
. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
. Transfers from Non-Federal and Levin Funds	79 79	7 7
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
=		
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b)) 9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶ 10. Total Federal Receipts	29917.83	325553.4
(subtract Line 18(c) from Line 19)▶	29917.83	325553.41
(00000000 2000 10(0) 1000 2000 10) 1000 100	7	7

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	Total This Period			
21.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calendar Year-to-Date		
	(i) Federal Share	0.00	0.00		
	(ii) Non-Federal Share	0.00	0.00		
	(b) Other Federal Operating				
	Expenditures	392.55	3666.89		
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	392.55	3666.89		
22.	Transfers to Affiliated/Other Party				
)3	Committees Contributions to	0.00	0.00		
	Federal Candidates/Committees and Other Political Committees	34000.00	369750.00		
24.	Independent Expenditures	0.00	0.00		
25.	(use Schedule E)	0.00	0.00		
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
26.	Loan Repayments Made	0.00	0.00		
7	Loans Made	0.00	0.00		
28.	Refunds of Contributions To:	3.00			
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00		
	(b) Political Party Committees	0.00	0.00		
	(c) Other Political Committees				
	(such as PACs)	0.00	0.00		
	(d) Total Contribution Refunds				
	(add Lines 28(a), (b), and (c))▶	0.00	0.00		
29.	Other Disbursements	0.00	0.00		
20	Fodovol Flootion Activity (0.11.0.0. \$401(00))				
σU.	Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity				
	(from Schedule H6)				
	(i) Federal Share	0.00	0.00		
	(ii) "Levin" Share	0.00	0.00		
	(b) Federal Election Activity Paid Entirely				
	With Federal Funds	0.00	0.00		
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
31.	Total Disbursements (add Lines 21(c), 22,				
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	34392.55	373416.89		
32.					
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	34392.55	373416.89		
	from Line 31)	34352.33	373410.09		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	29525.28	321715.05
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	29525.28	321715.05
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	392.55	3666.89
7. Offsets to Operating Expenditures (from Line 15, page 3)	392.55	3463.36
3. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	203.53

Use separate schedule(s) for each category of the Detailed Summary Page

				PAGE	=	6	OF		34		
(check only one)											
	X	11a		11b		11c		12	2		
		13		14		15		16	6		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

ΟI	tor commercial purposes, other than using the	name and address of any political committee to	Solicit contributions from Such committee.
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Securities Industry and Financia	Markets Association Political Ac	tion Committee
Α.	Full Name (Last, First, Middle Initial) Brian Peller Mailing Address 219 W 81 Street Apartment 3DF City New York FEC ID number of contributing federal political committee. Name of Employer KCG Holdings, Inc. Receipt For: Primary General Other (specify)	State Zip Code NY 10024-5808 C Occupation COO Market Making Aggregate Year-to-Date ▼ 250.00	Date of Receipt 10 13 2015 Transaction ID: 22743272 Amount of Each Receipt this Period 250.00 Contribution
3.	Full Name (Last, First, Middle Initial) Mr. David Krasner Mailing Address 100 Disbrow Lane City New Rochelle FEC ID number of contributing federal political committee.	State Zip Code NY 10804-3230	Date of Receipt 10 21 2015 Transaction ID: 22748643 Amount of Each Receipt this Period 750.00
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Chief Financial Officer Aggregate Year-to-Date ▼ 2420.10	Contribution
) .	Full Name (Last, First, Middle Initial) Mr. Curt Bradbury Mailing Address 4 Edgehill Road City Little Rock FEC ID number of contributing federal political committee. Name of Employer	State Zip Code AR 72207-5444 C	Date of Receipt 10 26 2015 Transaction ID: 22756986 Amount of Each Receipt this Period 5000.00
	Stephens Inc. Receipt For: Primary General Other (specify) ▼	Chief Operating Officer Aggregate Year-to-Date ▼ 5000.00	Contribution
s	UBTOTAL of Receipts This Page (optional)	•	6000.00
T	OTAL This Period (last page this line number o	nly)	

FOR	PAGE		7	OF		34			
(check only one)									
X	11a	11b		11c		12			
	13	14		15		16	,		17

NAME OF COMMITTEE (In Full)	the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of any political committee to the name and address of the name					
Full Name (Last, First, Middle Initial) A. Alexander I Rorke Mailing Address 700 Hinman Avenue	Full Name (Last, First, Middle Initial) Alexander I Rorke					
City Evanston	State Zip Code IL 60202-2559	Transaction ID : 22756987 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	1000.00				
Name of Employer Hilliard Lyons Receipt For: Primary General Other (specify) ▼	Occupation Senior Managing Director Aggregate Year-to-Date ▼ 1000.00	Contribution				
Full Name (Last, First, Middle Initial) William Caccamise Mailing Address 35 Coleytown Road		Date of Receipt				
City Westport FEC ID number of contributing federal political committee.	State Zip Code CT 06880-1528	10 29 2015 Transaction ID: 22759653 Amount of Each Receipt this Period 5000.00				
Name of Employer Bank of America Merrill Lynch Receipt For: Primary General Other (specify)	Occupation Managing Director Aggregate Year-to-Date ▼ 5000.00	Contribution				
Full Name (Last, First, Middle Initial) Mr. Christopher Killian Mailing Address 137 Cleveland Drive City Croton On Hudson	State Zip Code NY 10520-2732	Date of Receipt 10 14 2015 Transaction ID: 22789821 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation Managing Director Aggregate Year-to-Date ▼ 231.00	38.50				
SUBTOTAL of Receipts This Page (optional)	>	6038.50				
TOTAL This Period (last page this line numb	er only)					

FOR	R LINE	NU	IUMBER: PAGE 8 OF 34				34			
(che	eck only	or	ne)							
×	11a		11b		11c		12			
	13		14		15		16	;		17

	statements may not be sold or used by any person name and address of any political committee to	
NAME OF COMMITTEE (In Full)	al Mankata Association Delitical Ass	ilan Cammitta
Securities industry and Financia	al Markets Association Political Act	tion Committee
Full Name (Last, First, Middle Initial) Mr. David Krasner		Date of Receipt
Mailing Address 100 Disbrow Lane		10 14 2015
City	Transaction ID: 22789823	
New Rochelle	NY 10804-3230	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Chief Financial Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	555 10 -5 1	
Other (specify) ▼	1670.10	
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		10 14 2015
City	State Zip Code	Transaction ID: 22789824
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	808.50	
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Avenue, NW 8th Floor		10 14 2015
City	State Zip Code	Transaction ID: 22789825
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	808.50	
SUBTOTAL of Receipts This Page (optional)		192.00
TOTAL This Period (last page this line number	only)	

FOI	PAGE	9	OF	34				
(che	eck only	on	ie)					
×	11a		11b		11c	12		
	13		14		15	16		17

Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any personal he name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Ms. Laura A. Martin		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor City	State Zip Code	10 14 2015 Transaction ID : 22789826
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	231.00	
Full Name (Last, First, Middle Initial) Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway 35th Floor		10 14 2015
City	State Zip Code	Transaction ID: 22789828
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	1434.00	
Full Name (Last, First, Middle Initial) C. Thomas P. Tierney	•	Date of Receipt
Mailing Address 120 Broadway 35th Floor		10 14 2015
City New York	State Zip Code NY 10271-3599	Transaction ID : 22789830
	1027 1-3399	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	211.53	
SUBTOTAL of Receipts This Page (optional).		96.23
TOTAL This Period (last page this line number	er only)	

	LINE			:	PAGE		10	OF	34
(check only one)									
X	11a		11b		11c		12		
	13		14		15		16		17

Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	etion Committee
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave, NW		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		10 14 2015
City	State Zip Code	Transaction ID : 22789831
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	4039.35	
Full Name (Last, First, Middle Initial) Ms. Lisa Bleier		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	Chair	10 14 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code DC 20005-4269	Transaction ID : 22789832
Washington FECURE and a contribution	20003-4209	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	403.83	
Full Name (Last, First, Middle Initial) C. Ananias Blocker		Date of Receipt
Mailing Address 1101 New York Avenue, NW Suite 800		10 14 2015
City Washington	State Zip Code DC 20005-4279	Transaction ID : 22789833
FEC ID number of contributing	C	Amount of Each Receipt this Period 300.00
federal political committee.	<u> </u>	
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	3300.00	
SUBTOTAL of Receipts This Page (optional)		511.58
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Kyle Brandon Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 10 City Zip Code State Transaction ID: 22789834 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, DOR Receipt For: Aggregate Year-to-Date ▼ Primary General 2019.15 Other (specify) Full Name (Last, First, Middle Initial) B. Ms Kim Chamberlain Date of Receipt Mailing Address 120 Broadway 35th Floor 10 14 2015 City State Zip Code Transaction ID: 22789835 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel, State Gov Receipt For: Aggregate Year-to-Date ▼ Primary General 808.50 Other (specify) Full Name (Last, First, Middle Initial) Mr Salvatore Chiarelli Date of Receipt Mailing Address 120 Broadway 35th Floor 10 14 2015 City Zip Code State Transaction ID: 22789836 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 9.65 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 202.65 Other (specify) 144.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOF	LINE	NU	MBER	:	PAGE	. 1	12	OF	34	
(check only one)										
×	11a		11b		11c		12			
	13		14		15		16		17	

	Statements may not be sold or used by any personal name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen Mailing Address 1101 New York Ave, NW		Date of Receipt
8th Floor		10 14 2015
City	State Zip Code	Transaction ID : 22789837
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2019.15	
Full Name (Last, First, Middle Initial) 3. Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor City	State Zip Code	10 14 2015
Washington	DC 20005-4269	Transaction ID : 22789838 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 808.50	
Full Name (Last, First, Middle Initial) C. Tracy Eichler		Date of Receipt
Mailing Address 120 Broadway 35th Floor		10 14 2015
City New York	State Zip Code NY 10271-3599	Transaction ID : 22789839 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	-
Securities Industry and Financial Mark	Director	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	403.83	
SUBTOTAL of Receipts This Page (optional)	>	153.88
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE (check only one) X 11a 11b 11c

13 OF 34 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Lisa Fues Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 2015 10 City Zip Code State Transaction ID: 22789840 DC 20005-4269 Washington Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Vice President Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name (Last, First, Middle Initial) B. Marin E. Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 10 14 2015 City State Zip Code Transaction ID: 22789841 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1615.32 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave, NW 2015 10 28 8th Floor City Zip Code State Transaction ID: 22789864 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 192.35 С federal political committee. Name of Employer Occupation President Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 4231.70 Other (specify)

SUBTOTAL of Receipts This Page (optional)			,	_	Ξ	7	Ξ	2	88.50)
TOTAL This Period (last page this line number only)	Ξ	_	7	_	Ξ	7	_	_		

FOR LINE NUMBER: PAGE 14 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Lisa Bleier Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 2015 10 28 City Zip Code State Transaction ID: 22789865 DC 20005-4269 Washington Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 423.06 Other (specify) Full Name (Last, First, Middle Initial) B. Ananias Blocker Date of Receipt Mailing Address 1101 New York Avenue, NW Suite 800 10 28 2015 City State Zip Code Transaction ID: 22789866 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark **Executive Vice President** Receipt For: Aggregate Year-to-Date ▼ Primary General 3600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ms. Kyle Brandon Date of Receipt Mailing Address 120 Broadway 2015 10 28 35th Floor City Zip Code State Transaction ID: 22789867 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 96.15 С federal political committee. Name of Employer Occupation

415.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

2115.30

Managing Director, DOR

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

Securities Industry and Financial Mark

General

FOR LINE NUMBER: PAGE 15 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 10 28 City Zip Code State Transaction ID: 22789868 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Managing Director & Counsel, State Gov Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 847.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr Salvatore Chiarelli Date of Receipt Mailing Address 120 Broadway 35th Floor 10 28 2015 City State Zip Code Transaction ID: 22789869 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 9.65 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 212.30 Other (specify) Full Name (Last, First, Middle Initial) c. Ms. Cheryl Crispen Date of Receipt Mailing Address 1101 New York Ave, NW 10 28 2015 8th Floor City Zip Code State Transaction ID: 22789870 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 96.15 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Executive Vice President, Communicatio Receipt For: Aggregate Year-to-Date ▼ Primary General 2115.30 Other (specify) 144.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER	: PAG	i⊨ 16
Use separate schedule(s)	(check on	ly one)		
for each category of the Detailed Summary Page	X 11a	11b	11c	12
, ,	1 12	111	1 15	16

		atements may not be sold or used by any personame and address of any political committee to	
\rangle	NAME OF COMMITTEE (In Full) Securities Industry and Financia	l Markets Association Political Act	ion Committee
١.	Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell Mailing Address 1101 New York Ave, NW		Date of Receipt
	8th Floor		10 28 2015
	City	State Zip Code	Transaction ID : 22789871
	Washington	DC 20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	38.50
	Name of Employer	Occupation	
	Securities Industry and Financial Mark	Managing Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 847.00	
3.	Full Name (Last, First, Middle Initial) Tracy Eichler		Date of Receipt
	Mailing Address 120 Broadway 35th Floor		10 28 2015
	City	State Zip Code	Transaction ID: 22789872
	New York	NY 10271-3599	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	19.23
	Name of Employer Securities Industry and Financial Mark	Occupation Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 423.06	
<u> </u>	Full Name (Last, First, Middle Initial) Ms. Lisa Fues		Date of Receipt
	Mailing Address 1101 New York Ave, NW 8th Floor		10 28 2015
	City Washington	State Zip Code DC 20005-4269	Transaction ID : 22789873 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	19.23
	Name of Employer	Occupation	
	Securities Industry and Financial Mark	Vice President	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	423.06	
s	UBTOTAL of Receipts This Page (optional)	>	76.96
T	OTAL This Period (last page this line number of	nly)	

FOR LINE NUMBER: PAGE 17 OF Use for ea Detail

	I TON LINE	NUMBER.	. LI AGE	- 17 01	J-T
separate schedule(s)	(check only	one)			
ach category of the	l ` ´		_		
led Summary Page	X 11a	11b	11c	12	
ica danimary r age	13	14	15	16	17
	1.0		10	1.0	

	Statements may not be sold or used by any persibe name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Marin E. Gibson Mailing Address 120 Broadway		Date of Receipt
35th Floor		10 28 2015
City	State Zip Code	Transaction ID : 22789874
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	76.92
Name of Employer	Occupation	7
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1692.24	
Full Name (Last, First, Middle Initial) 3. Mr. Christopher Killian		Date of Receipt
Mailing Address 137 Cleveland Drive		10 28 2015
City	State Zip Code	Transaction ID: 22789875
Croton On Hudson	NY 10520-2732	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
Full Name (Last, First, Middle Initial) Deanna Kochan		Date of Receipt
Mailing Address 120 Broadway 35th Floor		10 28 2015
City	State Zip Code	Transaction ID: 22789876
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	10.00
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Human Resources Associate	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	210.00	
SUBTOTAL of Receipts This Page (optional).		125.42
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

34

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. David Krasner Date of Receipt Mailing Address 100 Disbrow Lane 2015 10 28 City Zip Code State Transaction ID: 22789877 NY New Rochelle 10804-3230 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Name of Employer Occupation Chief Financial Officer Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 2535.10 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Nancy Donohoe Lancia Date of Receipt Mailing Address 120 Broadway 35th Floor 10 28 2015 City State Zip Code Transaction ID: 22789878 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, State Govt. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 847.00 Other (specify) Full Name (Last, First, Middle Initial) Theodore Lazo Date of Receipt Mailing Address 1101 New York Avenue, NW 10 28 2015 8th Floor City Zip Code State Transaction ID: 22789879 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 847.00 Other (specify) 192.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

34

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Laura A. Martin Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 10 City Zip Code State Transaction ID: 22789880 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 269.50 Other (specify) Full Name (Last, First, Middle Initial) B. Lauren Murray Date of Receipt Mailing Address 120 Broadway 35th Floor 10 28 2015 City State Zip Code Transaction ID: 22789881 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Associate; Private Client Group Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. Thomas F Price Date of Receipt Mailing Address 120 Broadway 35th Floor 10 28 2015 City Zip Code State Transaction ID: 22789882 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1472.50 Other (specify) 87.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) X 11a 11b 11c

34

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Darrah Rafferty Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 10 28 City Zip Code State Transaction ID: 22789883 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas P. Tierney Date of Receipt Mailing Address 120 Broadway 35th Floor 10 28 2015 City State Zip Code Transaction ID: 22789884 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. Paul E. Purcell Date of Receipt Mailing Address 825 S. Washington Street 06 10 2015 City Zip Code State Transaction ID: 22798334 IL Hinsdale 60521-4530 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 С federal political committee. Name of Employer Occupation Chairman, President & CEO Robert W. Baird & Co. Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 5000.00 Other (specify) 5029.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

-9

Use separate schedule(s) for each category of the Detailed Summary Page

_		NUMBE	R:	PAGE	21	OF	34
(che	eck only	one)					
×	11a	11b		11c	1	2	
	13	14		15	1	6	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mailing Address 225 South Washington City State Zip Code IL 60521-4530 FEC ID number of contributing federal political committee. Name of Employer Homemaker Fedicipal For: Aggregate Year-to-Date V Fruil Name (Last, First, Middle Initial) Mailing Address City State Zip Code FEC ID number of contributing federal political committee. Cocupation FEC ID number of contributing federal political committee. Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Substot A Receipt This Period FEC ID number of contributing federal political committee. Substot A Receipt This Period ferein fe		ng the name and address of any political committee t			
Mailing Address 825 South Washington City	,	ncial Markets Association Political A	ction Committee		
City	Ms. Patricia W. Purcell		M = M / D = D / Y = Y = Y		
Hinsdale IL 60621-4530 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Homemaker Receipt For: □ Clip Unumber of contributing federal political committee. Name of Employer Coutpation Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name of Employer Coutpation FEC ID number of contributing federal political committee. Name of Employer City State City Aggregate Year-to-Date ▼ Coupation Amount of Each Receipt this Period Amount of Each Receipt this Period Amount of Each Receipt this Period City Amount of Each Receipt this Period City City State City Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ City City City Aggregate Year-to-Date ▼ City Aggregate Year-to-Date ▼ City C	City	State Zip Code			
FEC ID number of contributing federal political committee. Name of Employer Homemaker Receipt For:					
Homemaker Receipt For: Primary General Other (specify) ▼ State Zip Code Amount of Each Receipt this Period Federal political committee. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Amailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Other (specify) ▼ Aggregate Year-to-Date ▼		С			
Receipt For: Primary General Other (specify) ▼ State	Name of Employer	Occupation	1		
Primary General Other (specify) ▼ 5000.00 Full Name (Last, First, Middle Initial) Malling Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing tederal political committee. Name of Employer Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Malling Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing tederal political committee. City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing tederal political committee. Name of Employer Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼	Homemaker	Homemaker			
Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code Amount of Each Receipt this Period Date of Receipt this Period Full Name (Last, First, Middle Initial) Date of Receipt Total	Primary General		Contribution		
City State Zip Code FEC ID number of contributing federal political committee. Name of Employer Coccupation Receipt For: Primary General Other (specify) ▼ Part	Full Name (Last, First, Middle Initial)	'	Date of Receipt		
Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code Amount of Each Receipt this Period Date of Receipt Amount of Each Receipt this Period Cotty State Zip Code Amount of Each Receipt this Period	Mailing Address		†		
FEC ID number of contributing federal political committee. Name of Employer Cocupation	City	Amount of Each Receipt this Period			
Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code FEC ID number of contributing federal political committee. Name of Employer Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼		С	Table of East Hoospe the Follow		
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Name of Employer	Occupation	-		
Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Primary General	Aggregate Year-to-Date ▼	_		
Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Full Name (Last, First, Middle Initial)		Date of Receipt		
Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Mailing Address		1		
FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	City	State Zip Code	Amount of Each Receipt this Period		
Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼		С	Table of East Hoospe the Follow		
Primary General Other (specify) ▼	Name of Employer	Occupation	-		
SUBTOTAL of Receipts This Page (optional)	Primary General	Aggregate Year-to-Date ▼			
SSET OF THE OF THE PROPERTY AND THE PROP	SURTOTAL of Receipts This Page (option	al)	5000.00		
	COLUMN TO	<u> </u>			

S ľ

	.g =			
	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 OF 34 (check only one) 11a
	y information copied from such Reports and S for commercial purposes, other than using the			
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets	s Association Political A	Action Committee
Α.	Full Name (Last, First, Middle Initial) J.P. Morgan Chase & Co. Political Act	tion Commi	ittee	Date of Receipt
	Mailing Address 10 South Dearborn Streer IL 1-0520			10 13 2015
	Chicago	State IL	Zip Code 60603	Transaction ID : 22743271 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C cod	0128512	2500.00
	Name of Employer	Occupation		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2500.00	Contribution
В.	Full Name (Last, First, Middle Initial) HSBC Political Action Committee			Date of Receipt
	Mailing Address 26525 N. Riverwoods Blvd.			10 06 2015
	City Mettawa	State IL	Zip Code 60045	Transaction ID : 22798332 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		0033423	2500.00
	Name of Employer	Occupation		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2500.00	Contribution
<u> </u>	Full Name (Last, First, Middle Initial)			Date of Receipt
	Mailing Address			M = M / D = D / Y = Y = Y
	City	State	Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.		С		
	Name of Employer	Occupation		
	Receipt For: Primary General Other (specify) ▼	00 0	Year-to-Date ▼	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

5000.00

5000.00

S 17

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the	FOR LINE NUMBER: PAGE 23 OF 34 (check only one)
			Detailed Summary Page	
Ar	y information copied from such Reports and S for commercial purposes, other than using the	tatements mand a	ay not be sold or used by any penderess of any political committee	erson for the purpose of soliciting contributions to solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Market	s Association Political A	Action Committee
<u> </u>	Full Name (Last, First, Middle Initial) Securities Industry and Financial Mark	ets Associ	ation	Date of Receipt
	Mailing Address 120 Broadway			M = M / D = D / Y = Y = Y
	Floor 35 City	State	Zip Code	10 27 2015
	New York	NY	10271	Transaction ID : 22799579 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		392.55
	Name of Employer	Occupation		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 3463.36	Reimbursement of Bank Fees
— В.	Full Name (Last, First, Middle Initial)			Date of Receipt
٠.	Mailing Address			M = M / D = D / Y = Y = Y
	City	State	Zip Code	
				Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer	Occupation	l	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	
_	Full Name (Last, First, Middle Initial)			
C.				Date of Receipt
	Mailing Address			M = M / D = D / Y = Y = Y
	City	State	Zip Code	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		
	Name of Employer	Occupation	1	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	
s	UBTOTAL of Receipts This Page (optional)			392.55

TOTAL This Period (last page this line number only).....

392.55

S ľ

Use separate schedule(s) to check only one) Debuilded Summary Page Debuilded Debuilded Debuilded Page Debuilded Committee Full Name (Lest, First, Middle Initial) A. PNC Bank Mailing Address 1400 K Street, NW City Washington Debuilded Debuilded Debuilded Debuilded Debuilded Debuilded Page Debuilded Debuild	SCHEDULE B (FEC Form 3X)		FOR LIN			R LINE NUMBER: PAGE 24 OF 34									
Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee. NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) A. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Category Types of Disbursement For: Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Furnose of Disbursement For: Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Purpose of Disbursement Box: City State Zip Code Washington DC Zo005 Purpose of Disbursement Box: City State Disbursement Box: City State Disbursement For: President Disbursement For: President Disbursement For: President Disbursement For: Primary General Disbursement Bank Service Charge Category Type Distorct Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Purpose of Disbursement Bank Service Charge Category Type Disbursement For: Primary General Disbursement Distorct Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Purpose of Disbursement Distorct Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Purpose of Disbursement Distorct Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC Zo005 Purpose of Disbursement Distorct Full Name (Last, First, Middle Initial) Credit Card Fees Condidate Name Credit Ca	IT	EMIZED DISBURSEMENTS			1 (0110		•	′		22		24		_	_ oe
Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) A PNC Bank Mailing Address 1400 K Street, NW City Washington Distorter Primary President State Distorter Diffice Sought: Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City State Primary General Primary General Bank Service Charge Candidate Name City Washington Distorterment Bank Service Charge Candidate Name Distorterment Bank Service Charge Candidate Name Distorterment Bank Service Charge Candidate Name Distorterment Distorter Diffice Sought: Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City Washington Diffice Sought: Distorter Diffice Sought: Distorterment Distorter Distorter Diffice Sought: Distorter Distorter Distorter Distorter Diffice Sought: Distorter Distorter Distorter Distorter Diffice Sought: Distorter Distort						-	l		H		\vdash			-	
or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) A. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Disbursement Credit Card Fees Cardidate Name Office Sought: Senate Primary General						ny pe	rsoı	n for the		ose c		liciting	contrib		ns
Securities Industry and Financial Markets Association Political Action Committee Fill Name (Last, First, Middle Initial) A. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Office Sought: House President Other (specify) Type City State Zip Code Category/ Type Office Sought: House Disbursement For: Other (specify) Type City State: Disbursement To Disbursement To Date of D	or		e and addi	ress of any politi	cal comm	nittee	to	solicit co	ntrib	utions	fror	n such	comm	ttee.	
Full Name (Last, First, Middle Initial)		• • •	ا مدرداده ا	مونامانوه	Dalitia	ما ۸	٠	ion Ca		:44.a	_				
A. PNC Bank Mailing Address 1400 K Street, NW City Washington DC 20005 Purpose of Disbursement Credit Gard Fees Candidate Name Office Sought: House President Disbursement For: Senate President DC 20005 Full Name (Last, First, Middle Initial) State: District: Primary General President DC 20005 Purpose of Disbursement Bank Bervice Charge Candidate Name Office Sought: House Disbursement For: Senate DC 20005 Purpose of Disbursement Bank Service Charge Disbursement For: Senate Primary General Primary General Primary General Primary General Primary General DC 20005 Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Disbursement For: Senate President DC 20005 Purpose of Disbursement DC 20005 Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State District: District: Transaction ID: 22798340 Amount of Each Disbursement DC 20005 Transaction ID: 22798340 Amount of Each Disbursement B Primary General Credit Card Fees Candidate Name Candidate Name Candidate Name Candidate Name Candidate Name Office Sought: House Disbursement For: Senate Primary General Primary General Primary General Credit Card Fees Sonate President Disbursement For: Senate Primary General Prima		Securities industry and Financial M	iarkets <i>i</i>	Association	Politic	aı <i>P</i>	ACT	ion Co	omr	nitte	ee				
City Washington Disbursement Credit Card Fees Candidate Name Office Sought: House President State: Disbursement Bank Service Charge Candidate Name Category Disbursement Di	_	,													
Mailing Address 1400 K Street, NW City Washington City State Disbursement Cledit Card Fees Candidate Name City Washington Office Sought: Full Name (Last, First, Middle Initial) Credit Card Fees Candidate Name Category Office Sought: Full Name (Last, First, Middle Initial) Credit Card Fees Credit Card Fees Disbursement For: Senate President Disbursement For: Category Office Sought: Full Name (Last, First, Middle Initial) Credit Card Fees Disbursement For: Senate President Other (specify) ▼ State Disbursement For: Category Office Sought: Full Name (Last, First, Middle Initial) Credit Card Fees Candidate Name Other (specify) ▼ Disbursement For: Senate President Credit Card Fees Other (specify) ▼ Date of Disbursement this Period Category State: District: Date of Disbursement this Period Category State: District: Date of Disbursement this Period Category State: District: Transaction ID: 22798339 Amount of Each Disbursement this Period Category 9.00 Transaction ID: 22798340 Amount of Each Disbursement this Period Category 9.00 Transaction ID: 22798340 Amount of Each Disbursement this Period Category 9.00 Transaction ID: 22798340 Amount of Each Disbursement this Period Category 9.00 Transaction ID: 22798340 Amount of Each Disbursement this Period Category 9.00 Transaction ID: 22798340 Category 9.00 Category 9.0	Α.	PNC Bank													
Washington Purpose of Disbursement Credit Card Fees Candidate Name Office Sought:		Mailing Address 1400 K Street, NW										/ L		- Y	
washington Disbursement Credit Card Fees Candidate Name Office Sought: Full Name (Last, First, Middle Initial) President State: Disbursement Disburs		City	State	Zip Code				Tronc	nooti.	on ID	. 22	70022	7		
Credit Card Fees Candidate Name Category/ Type Office Sought: House Primary General PNC Bank Mailing Address 1400 K Street, NW City State President Bank Service Charge Candidate Name Office Sought: House Disbursement For:		•	DC	20005			_	ITAIIS	sacti	טוו ווט	. 22	19033	,		
Office Sought:					001			Amoun	t of	Each	Dist	oursem	ent this	Peri	iod
Office Sought: House President Primary General President		Candidate Name			Categ	ory/				-		_		2 20	
Senate President District: Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Disbursement Bank Service Charge Candidate Name Office Sought: House President District: Full Name (Last, First, Middle Initial) Condidate Name Category/ Type Full Name (Last, First, Middle Initial) Condidate Name Category/ Type Full Name (Last, First, Middle Initial) Condidate Name Cother (specify) ▼ State Zip Code Disbursement Date of Disbursement this Period Category/ Type Date of Disbursement this Period Category/ Type Date of Disbursement this Period Category/ Type City State Zip Code Doc 20005 Category/ Type Code Category/ Type Code Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Senate Primary General Primary General President State: District: State: District: Primary General Category/ Type Credit Card Fees		Office County								7	-	7		3.20	,
State: District: Other (specify) ▼ Full Name (Last, First, Middle Initial) B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Bank Service Charge Candidate Name Office Sought: House President President State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Disbursement For: President Other (specify) ▼ State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Disbursement Credit Card Fees Candidate Name Office Sought: House Disbursement Credit Card Fees Candidate Name Category/ Transaction ID : 22798340 Amount of Each Disbursement Category/ Type Transaction ID : 22798340 Amount of Each Disbursement this Period Category/ Type Office Sought: House Primary General Office Sought: House Primary General President Other (specify) ▼ State: District: Substortal of Disbursements This Page (optional)				General				Cradit (Cord.	F					
B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code DoC 20005 Purpose of Disbursement Bank Service Charge Candidate Name Disbursement For: Senate President Other (specify) ▼ City State Zip Code DoC 20005 Purpose of Disbursement Bank Service Charge Candidate Name Disbursement For: Senate President Other (specify) ▼ City Mashington DC 20005 PURD Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Disbursement For: Category/ Type Office Sought: House Disbursement For: Category/ Type Office Sought: House Disbursement For: Candidate Name Disbursement For: Candidate Name Disbursement For: Candidate Name Disbursement For: Category/ Type Office Sought: House Primary General Other (specify) ▼ State: District: Substrotal of Disbursements This Page (optional)			•					Credit	Jaru	rees					
B. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Bank Service Charge Candidate Name Office Sought: House President Persident State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement For: Senate President State: District: City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Transaction ID : 22798339 Amount of Each Disbursement this Period Transaction ID : 22798340 Amount of Each Disbursement Transaction ID : 22798340 Amount of Each Disbursement Transaction ID : 22798340 Amount of Each Disbursement this Period Category/ Type Office Sought: House Primary General Other (specify) ▼ Senate President State: District: SUBTOTAL of Disbursements This Page (optional)		State: District:													
Mailing Address 1400 K Street, NW City	_	,						5.	, D.						
Mailing Address 1400 K Street, NW	В.	PNC Bank													
Washington DC 20005 Purpose of Disbursement Bank Service Charge Candidate Name Category/ Type Office Sought: House Primary General President State: District: Full Name (Last, First, Middle Initial) C: PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Office Sought: House Disbursement For: Senate Primary General DC 20005 Category/ Type Office Sought: House Disbursement For: Senate Primary General DC 20005 Cardidate Name Office Sought: House Disbursement For: Senate Primary General DC 20005 State: District: Credit Card Fees Candidate Name Disbursement For: Senate Primary General DC 20005 State: District: State: District: Amount of Each Disbursement this Period Credit Card Fees Credit Card Fees Credit Card Fees Substortal of Disbursements This Page (optional)		Mailing Address 1400 K Street, NW									- 1	/ L		= Y	
Bank Service Charge Candidate Name Category/ Type Office Sought: House Senate Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Transaction ID: 22798340 Amount of Each Disbursement this Period Transaction ID: 22798340 Amount of Each Disbursement this Period Transaction ID: 22798340 Amount of Each Disbursement Credit Card Fees Credit Card Fees Credit Card Fees State: District: SUBTOTAL of Disbursements This Page (optional)		•						Trans	sacti	on ID	: 22	79833	9		
Candidate Name Office Sought: House Senate Primary General Other (specify) ▼ State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Transaction ID: 22798340 Amount of Each Disbursement this Period Amount of Each Disbursement this Period Category/ Type Office Sought: House Primary General Other (specify) ▼ State: District: SUBTOTAL of Disbursements This Page (optional)					00,	1		Amoun	t of	Fach	Dish	oursem	ent this	Peri	iod
Office Sought: House Senate Primary General Other (specify) State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Primary General Other (specify) Senate Primary General Category/ Type Office Sought: House President Other (specify) State: District: Substate: District: State: District: State: District: State: District: State: District: State: District: Substate State: District: State: State: Disbursements This Page (optional)		Candidate Name													
Senate President Other (specify) State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Candidate Name Disbursement For: Senate Primary General Other (specify) State: District: Date of Disbursement Transaction ID: 22798340 Amount of Each Disbursement this Period Category/ Type Credit Card Fees Credit Card Fees Senate Primary General Other (specify) State: District: SUBTOTAL of Disbursements This Page (optional)										7		7		9.00)
State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City Washington Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House President State: District: Senate Primary General Other (specify) ▼ Subtrotal of Disbursements This Page (optional)				Comerci											
State: District: Full Name (Last, First, Middle Initial) C. PNC Bank Mailing Address 1400 K Street, NW City Washington Purpose of Disbursement Credit Card Fees Candidate Name Office Sought: House Senate President State: District: Subtrotal of Disbursements This Page (optional)			,					Bank S	ervic	e Cha	rge				
C. PNC Bank Mailing Address 1400 K Street, NW City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Senate Primary General Other (specify) ▼ State: District: Date of Disbursement Transaction ID: 22798340 Amount of Each Disbursement this Period Category/ Type Credit Card Fees Credit Card Fees Substortal of Disbursements This Page (optional)			(-	- <i>)</i> / \											
Mailing Address 1400 K Street, NW City State Zip Code Washington Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Primary General Other (specify) State: District: Substrotal of Disbursements This Page (optional)	_														
Mailing Address 1400 K Street, NW City State Do	C.	PNC Bank							_						
City State Zip Code Washington DC 20005 Purpose of Disbursement Credit Card Fees Candidate Name Candidate Name Office Sought: House Senate Primary General Other (specify) ▼ State: District: Substotal Amount of Each Disbursement this Period Credit Card Fees Credit Card Fees Credit Card Fees Credit Card Fees State: Disbursements This Page (optional)		Mailing Address 1400 K Street, NW							/			/ Y		■ Y	
Washington Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Senate President President State: District: Substruct Substruct Disbursement For: Senate Primary Other (specify) State: Substruct General Other (specify) State: Substruct Transaction ID: 22798340 Amount of Each Disbursement this Period Category/ Type Credit Card Fees Credit Card Fees															
Purpose of Disbursement Credit Card Fees Candidate Name Category/ Type Office Sought: House Senate Primary General President State: District: Substrict: Category/ Type Credit Card Fees Credit Card Fees Credit Card Fees Credit Card Fees								Trans	sacti	on ID	: 22	79834	0		
Candidate Name Category/ Type Office Sought: House Senate Primary General President Other (specify) State: District: Substrict: Category/ Type Credit Card Fees Credit Card Fees 336.87		Purpose of Disbursement		20000	-	_	\dashv								
Office Sought: House Disbursement For: Senate Primary General Other (specify) State: District: Credit Card Fees Substruct: State: Disbursements This Page (optional)					001			Amoun	t of	Each	Dist	oursem	ent this	Peri	iod
Office Sought: House Senate Primary General Other (specify) State: District: Credit Card Fees Subtrotal of Disbursements This Page (optional)		Candidate Name			Categ	ory/							33	4.59)
State: District: Other (specify) SUBTOTAL of Disbursements This Page (optional)		Office Sought: House Disbursen	nent For:		тур		-			7		7			_
State: District: SUBTOTAL of Disbursements This Page (optional)			Primary	General				Credit C	Card	Fees					
SUBTOTAL of Disbursements This Page (optional)			Other (spec	cify) 🔻											
SUBTUTAL OF DISDUISEMENTS THIS Page (Optional)	_	State: District:													
TOTAL This Period (last page this line number only)	s	UBTOTAL of Disbursements This Page (optional)				▶				,	Ξ		35	6.87	
	Т	OTAL This Period (last page this line number only)				🛌									П

S ľ

SCHEDULE B (FEC Form 3X)		FOR LINE			INE N	NE NUMBER: PAGE 25 OF 34							
ΙT	EMIZED DISBURSEMENTS	Use separate schedul for each category of t	(check	only 21b	· ·			25		26			
		Detailed Summary Pa			210 27	22 28a		23 28b	ш	24 28c	29	Н	30b
	ny information copied from such Reports and Staten				perso	n for the	purpo	ose o	f sol	iciting	contribu		
or	for commercial purposes, other than using the name	ne and address of any p	oolitical	committ	ee to	solicit co	ntribut	tions	from	such	commit	tee.	
$ \rangle$	NAME OF COMMITTEE (In Full) Securities Industry and Financial M	larkote Associati	on D	olitical	Λot	ion Co	mm	itta	^				
	•	iaikeis Associati	OIII	Untica	ACI	1011 00	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ııııe	<u> </u>				
Δ	Full Name (Last, First, Middle Initial) PNC Bank					Date of	Dieh	urear	mant				
Λ.	PING BAHK					M M	/	D			Y	Υ	
	Mailing Address 1400 K Street, NW					10	Ш	02	2	L	2015		
	City	State Zip Code	Zip Code										
	Washington	DC 20005				Trans	actio	n ID :	227	98341			
	Purpose of Disbursement Bank Fee			001	71	Amount	t of E	ach I	Disbı	urseme	ent this	Perio	d
	Candidate Name			Category	//			-	÷	-	-	-	
	0"			Туре				_	-	7	3:	5.68	_
	Office Sought: House Disburser Senate	nent For: Primary Gener	ral			Bank Fe	20						
	President	Other (specify)				Dankir	,0						
_	State: District:				_								
В.	Full Name (Last, First, Middle Initial)					Date of	Disb	urser	nent				
						M = M	/	D			YY	Υ	
	Mailing Address						Ш			Ш		_	
	City	State Zip Code			\dashv								
	Purpose of Disbursement				_								
	Talpose of Bisbarcoment				71	Amount	t of E	ach [Disb	urseme	ent this	Perio	d
	Candidate Name			Category	//		-						٦
	Office Sought: House Disbursen	nent For:		Туре			,	_		7			-
		Primary Gener	ral										
		Other (specify) ▼											
_	State: District: Full Name (Last, First, Middle Initial)				\dashv								_
C.						Date of	Disb	urser	nent				
	Molling Address				_	M - M	/	D II	D	/ Y	ΥΥΥ	Υ	
	Mailing Address						1 1	-	-			_	
	City	State Zip Code											
	Purpose of Disbursement			-	-								
			_			Amount	of E	ach [Disb	urseme	ent this	Perio	d
	Candidate Name			Category Type	//								٦
	Office Sought: House Disburser	nent For:		Турс	\dashv		,	_		,			
		Primary Gener	ral										
	President State: District:	Other (specify) ▼											
	2.5							_	-	-		_	=
s	UBTOTAL of Disbursements This Page (optional)				•		,			7	35	5.68	
<u> </u>	OTAL This Deviced (Assessment Mat 19				_						392	2.55	Ī
ĮΤ	OTAL This Period (last page this line number only)						, ,			7	332		

SCHEDULE B (FEC Form 3X)		FOR LINE I		4	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		_	
	Detailed Summary Page	21b 27	22 X 23 24 25 2 28a 28b 28c 29 3	ю 0b	
Anninformation assist from such Departs and Olate					
Any information copied from such Reports and State or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)				_	
Securities Industry and Financial N	Markets Association F	Political Ac	tion Committee		
Full Name (Last, First, Middle Initial)				_	
A. Dold For Congress			Date of Disbursement		
Mailing Address PO Box 6312			10 07 2015		
•	State Zip Code		Transaction ID: 22737652		
Libertyville Purpose of Disbursement	IL 60048				
Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/		1	
Rep. Robert J. Dold		Type	1000.00	J	
Office Sought: House Disburse	ment For: 2016 Primary General Other (specify) ▼		Contribution		
State: IL District: 10					
Full Name (Last, First, Middle Initial)			Data of Bishamana		
B. Crowley For Congress			Date of Disbursement		
Mailing Address 84-56 Grand Avenue			10 07 2015		
City Elmhurst	State Zip Code NY 11373		Transaction ID: 22737653		
Purpose of Disbursement Contribution		044	Amount of Fook Dishurancest this David		
Candidate Name		011	Amount of Each Disbursement this Period		
Rep. Joseph Crowley		Category/ Type	1000.00	ı	
	ment For: 2016	.,,,,	,		
Senate President	Primary General Other (specify) ▼		Contribution		
State: NY District: 07					
Full Name (Last, First, Middle Initial) C. Ann Wagner For Congress			Date of Disbursement		
Mailing Address PO Box 50			10 07 2015		
City	State Zip Code				
Ballwin	MO 63022		Transaction ID: 22737654		
Purpose of Disbursement Contribution		011			
Candidate Name			Amount of Each Disbursement this Period		
Rep. Ann Wagner		Category/ Type	1500.00	ı	
	ment For: 2016				
Senate	Primary General		Contribution		
State: MO District: 02	Other (specify) ▼				
02				ī	
SUBTOTAL of Disbursements This Page (optional)			3500.00		
TOTAL This Period (last page this line number only	1			1	

	CHEDULE B (FEC Form 3X)	1		FOR LINE I	NUMBER: PAGE 27 OF 3	34
T	EMIZED DISBURSEMENTS		category of the	(check only		
			Summary Page	21b 27		26 30b
۸۰	ny information copied from such Reports and State	monto mov	not be cold or use			300
	for commercial purposes, other than using the nar					
$\overline{\ }$	NAME OF COMMITTEE (In Full)					
\rangle	Securities Industry and Financial N	/larkets	Association F	Political Ac	tion Committee	
<u>/_</u>	·					
^	Full Name (Last, First, Middle Initial)				Date of Disbursement	
Α.	Terri Sewell For Congress					
	Mailing Address P.O. Box 1964				10 07 2015	
		State	Zip Code		Transaction ID: 22737655	
	Birmingham Purpose of Disbursement	AL	35201			
	Contribution			011	Amount of Each Disbursement this Period	ł
	Candidate Name			Category/		7
	Rep. Terri A. Sewell			Type	1000.00	_
	Office Sought: House Disburse	ment For:	2016			
	Senate	Primary	General		Contribution	
	State: AL District: 07	Other (spe	ecity) 🔻			
	Full Name (Last, First, Middle Initial)					
В.	George Holding For Congress Inc.				Date of Disbursement	
	George Holding For Congress Inc.				M M / D D / Y Y Y Y	
	Mailing Address PO Box 97187				10 07 2015	
	<u> </u>					
	City Raleigh	State NC	Zip Code 27624		Transaction ID: 22737656	
	Purpose of Disbursement		27024			
	Contribution			011	Amount of Each Disbursement this Period	t
	Candidate Name			Category/	4000.00	Т
				Type	1000.00	-
	Rep. George Holding			туре		
	Office Sought: House Disburse	ment For:		туре		
	Office Sought: House Disburser Senate	Primary	General	Туре	Contribution	
	Office Sought: House Disburse		General	Туре	Contribution	
	Office Sought: House Disburse	Primary	General	туре	Contribution	
C.	Office Sought: House Disburse	Primary	General	туре	Contribution Date of Disbursement	
<u> </u>	Office Sought: House Disburse	Primary	General	туре		
<u> </u>	Office Sought: House Disburse	Primary	General	туре	Date of Disbursement	_
<u> </u>	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277	Primary Other (spe	General ecify) ▼	Туре	Date of Disbursement	
c .	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277	Primary	General	Туре	Date of Disbursement	
С.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement	Other (spe	General ecify) ▼ Zip Code	Туре	Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	_
C .	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution	Other (spe	General ecify) ▼ Zip Code	011	Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
<u> </u>	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name	Other (spe	General ecify) ▼ Zip Code	011 Category/	Date of Disbursement 10 07 2015 Transaction ID: 22737657	
с.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady	Other (spe	General ecify) ▼ Zip Code 77387	011	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	- - 1
C.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady	Other (spe	General ecify) ▼ Zip Code 77387	011 Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
C.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady Office Sought: House Disburse	Other (spe	General ecify) ▼ Zip Code 77387 2016 General	011 Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	i]
c.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady Office Sought: House Senate Disburses	State TX ment For: Primary	General ecify) ▼ Zip Code 77387 2016 General	011 Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	i]
c.	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady Office Sought: House Senate President State: TX District: 08	State TX ment For: Primary Other (spe	General ecify) ▼ Zip Code 77387 2016 General ecify) ▼	011 Category/ Type	Date of Disbursement M M / 07 / 2015 Transaction ID: 22737657 Amount of Each Disbursement this Period 1000.00 Contribution	- -
C.	Office Sought: House Senate President State: NC District: 13	State TX ment For: Primary Other (spe	General ecify) ▼ Zip Code 77387 2016 General ecify) ▼	011 Category/ Type	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	:]
	Office Sought: House Senate President State: NC District: 13 Full Name (Last, First, Middle Initial) Brady For Congress Mailing Address P.O. Box 8277 City The Woodlands Purpose of Disbursement Contribution Candidate Name Rep. Kevin Patrick Brady Office Sought: House Senate President State: TX District: 08	State TX ment For: Primary Other (spe	General ecify) ▼ Zip Code 77387 2016 General ecify) ▼	011 Category/ Type	Date of Disbursement M M / 07 / 2015 Transaction ID: 22737657 Amount of Each Disbursement this Period 1000.00 Contribution	- - - -

SCHEDULE B (FEC Form 3X)	Hee comments asked to	FOR LINE		PAGE 28 OF 34
ITEMIZED DISBURSEMENTS	Use separate schedule(for each category of the Detailed Summary Page	e Concor only	one) 22 X 23 28a 28b	24 25 2 28c 29 3
Any information conied from such Departs and Olever	monto mou net be seld en			
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan				
NAME OF COMMITTEE (In Full)	- 1			
Securities Industry and Financial M	larkets Association	n Political Ac	tion Committee	
Full Name (Last, First, Middle Initial)			5 . (5)	
^{A.} Kirk For Senate			Date of Disburseme	
Mailing Address P.O. Box 8			10 07	2015
City	State Zip Code		Transaction ID . C	222250
Winnetka	IL 60093		Transaction ID: 2	22/3/658
Purpose of Disbursement Contribution		011	Amount of Each Di	sbursement this Period
Candidate Name		Category/		2500.00
Sen. Mark Steven Kirk Office Sought: House Disburser	ment For: 2016	Туре	-	
Senate President	Primary General Other (specify) ▼		Contribution	
State: IL District:				
Full Name (Last, First, Middle Initial)	D D A C \		Data of Dist	
B. Keeping America Rolling PAC (KA	R PAC)		Date of Disburseme	
Mailing Address PO Box 185			10 07	2015
City	State Zip Code		Transaction ID : 1	22727650
Harrisburg	PA 17101		Transaction ID: 2	22131039
Purpose of Disbursement Contribution		011	Amount of Each Di	sbursement this Period
Candidate Name	D D (0)	Category/		2500.00
Keeping America Rolling PAC (KA		Туре		2000.00
Office Sought: House Disburser Senate			0	
President	Primary General Other (specify) ▼		Contribution	
State: District:	2.101 (opoony) ▼			
Full Name (Last, First, Middle Initial)				
C. COMMITTEE FOR HISPANIC CAUSES/BUILDING (CHC BOLD PAC)	OUR LEADERSHIP DIVER	RSITY PAC	Date of Disburseme	ent
Mailing Address PO BOX 70980			10 07	2015
City	State Zip Code		Transaction ID : 2	22737664
Washington	DC 20024		าาสาเจลงแบบ เป. 1	
Purpose of Disbursement Contribution		011		
Candidate Name		011	Amount of Each Di	sbursement this Period
COMMITTEE FOR HISPANIC CAUSES/BUILDING OUR LEADERSHIP I	DIVERSITY PAC (CHC BOLD PAC)	Category/ Type		2500.00
Office Sought: House Disburser	ment For:	1 .,,,,		
Senate	Primary General		Contribution	
President	Other (specify) ▼			
State: District:				
SUBTOTAL of Disbursements This Page (optional)				7500.00
TOTAL This Period (last page this line number only))			

SC	CHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:	PAGE 29 OF 34
ITI	EMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only		7
			Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30b
۸ ۸	by information copied from such Reports and Stater	monto mov	not be cold or use			
	for commercial purposes, other than using the nan					
$\overline{\ }$	NAME OF COMMITTEE (In Full)					
\rangle	Securities Industry and Financial M	larkets.	Association F	Political Ac	tion Committee	
<u>/_</u>	Full Name (Look First Middle Initial)					
Δ	Full Name (Last, First, Middle Initial) Montanans For Tester				Date of Disburseme	ent
•	Montanans For Tester				M M / D D	/
	Mailing Address PO Box 3171				10 21	2015
	,	State MT	Zip Code		Transaction ID: 2	2748592
	Billings Purpose of Disbursement	IVI I	59103			
	Contribution			011	Amount of Each Dis	sbursement this Period
	Candidate Name			Category/		4000.00
	Sen. Jon Tester			Type		1000.00
		ment For:				
	Senate President	Primary Other (spe	General		Contribution	
	State: MT District:	Other (spe	City)			
	Full Name (Last, First, Middle Initial)					
В.	Sean Patrick Maloney For Congres	SS			Date of Disburseme	nt
					M M / D D	/ Y Y Y Y Y
	Mailing Address 18 W Main St				10 21	2015
	City	State	Zip Code		ID 0	07.1000
					Transaction ID: 2	2748603
	Beacon	NY	12508		Transaction ib . 2	
	Beacon Purpose of Disbursement			044		
	Beacon Purpose of Disbursement Contribution			011		sbursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name			Category/		
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney		12508			sbursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Disburser	NY	12508	Category/		sbursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President Disburser	NY ment For:	12508 2016 General	Category/	Amount of Each Dis	sbursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Disburser	nent For: Primary	12508 2016 General	Category/	Amount of Each Dis	sbursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial)	nent For: Primary	12508 2016 General	Category/	Amount of Each Dis	abursement this Period
<u> </u>	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Disburser	nent For: Primary	12508 2016 General	Category/	Amount of Each Dis	abursement this Period 1000.00
C .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial)	nent For: Primary	12508 2016 General	Category/	Amount of Each Dis	abursement this Period
<u> </u>	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050	ment For: Primary Other (spe	12508 2016	Category/	Amount of Each Dis Contribution Date of Disburseme	ant
C .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City	ment For: Primary Other (spe	12508 2016 General ecify) Zip Code	Category/	Amount of Each Dis Contribution Date of Disburseme	abursement this Period 1000.00 1000.10 1000.10 1000.10
<u> </u>	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050	ment For: Primary Other (spe	12508 2016	Category/	Amount of Each Dis Contribution Date of Disburseme	abursement this Period 1000.00 1000.10 1000.10 1000.10
c .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles	ment For: Primary Other (spe	12508 2016 General ecify) Zip Code	Category/	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	abursement this Period 1000.00 1000.10 1000.10 1000.10
C .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name	ment For: Primary Other (spe	12508 2016 General ecify) Zip Code	Category/ Type	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant Y Y Y Y Y Y Y 2015 2748606 Subursement this Period
c.	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name Rep. Brad Sherman	ment For: Primary Other (spe	12508 2016	Category/ Type	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	1000.00 1000.00 1000.00 2748606
C .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President	ment For: Primary Other (spe	2016 General ecify) ▼ Zip Code 90017	Category/ Type 011 Category/	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant Y Y Y Y Y Y Y 2015 2748606 Subursement this Period
c.	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name Rep. Brad Sherman	nent For: Primary Other (spe	2016 General ecify) ▼ Zip Code 90017	Category/ Type 011 Category/	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant Y Y Y Y Y Y Y 2015 2748606 Subursement this Period
C .	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name Rep. Brad Sherman Office Sought: House Senate Disburser	nent For: Primary Other (spe	12508 2016 General ecify) ▼ Zip Code 90017 2016 General	Category/ Type 011 Category/	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant Y Y Y Y Y Y Y 2015 2748606 Subursement this Period
c.	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name Rep. Brad Sherman Office Sought: House Senate President Disburser Senate President	nent For: Primary Other (spe	12508 2016 General ecify) ▼ Zip Code 90017 2016 General	Category/ Type 011 Category/	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant / Y Y Y Y Y Y Y 2015 2748606 Subursement this Period 1000.00
C.	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: Senate President State: NY District: 18 Full Name (Last, First, Middle Initial) Sherman For Congress Mailing Address 777 S. Figueroa St., Ste. 4050 City Los Angeles Purpose of Disbursement Contribution Candidate Name Rep. Brad Sherman Office Sought: House Senate President Disburser Senate President	ment For: Primary Other (spe	2016 General ecify) Zip Code 90017 2016 General ecify) Zip Code 90017	Category/ Type 011 Category/ Type	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant Y Y Y Y Y Y Y 2015 2748606 Subursement this Period
	Beacon Purpose of Disbursement Contribution Candidate Name Rep. Sean Maloney Office Sought: House Senate President	ment For: Primary Other (spe	2016 General ecify) Zip Code 90017 2016 General ecify) Zip Code 90017	Category/ Type 011 Category/ Type	Amount of Each Dis Contribution Date of Disburseme 10 21 Transaction ID: 2	ant / Y Y Y Y Y Y Y 2015 2748606 Subursement this Period 1000.00

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAGE 30 OF 34
IT	EMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only		
			ummary Page	21b 27		23 24 25 26 28b 28c 29 30b
Λ.	ay information copied from such Departs and Ctatem	anto mou no	at he cold or used			
	ny information copied from such Reports and Statem for commercial purposes, other than using the nam					
$\overline{\ }$	NAME OF COMMITTEE (In Full)					
	Securities Industry and Financial M	arkets A	ssociation P	olitical Ac	tion Comm	nittee
<u>/_</u>	Full Name (Last, First, Middle Initial)					
Α.	Cleaver For Congress				Date of Disb	pursement
					M = M /	D D / Y Y Y Y
	Mailing Address 4801 Main Street, Stuite 1000				10	21 2015
	City	State	Zip Code		-	ID 00740000
		MO	64112		Transactio	on ID : 22748608
	Purpose of Disbursement Contribution			011	Amount of F	each Disbursement this Period
	Candidate Name		I		Amount of L	acii Disburscincii: tilis i cilou
	Rep. Emanuel Cleaver II			Category/ Type		1000.00
	Office Sought: House Disbursem	nent For: 20)16			
		Primary	General		Contribution	
	State: MO District: 05	Other (specif	fy) ▼			
	Full Name (Last, First, Middle Initial)					
В.	Duffy For Congress				Date of Disb	pursement
					M = M /	D D / Y Y Y Y
	Mailing Address PO Box 538				10	21 2015
	City	State	Zip Code		Transactio	on ID : 22748615
		\ \ /I	E4402			
	-	WI	54402			
	Wausau	WI	54402	011		ach Disbursement this Period
	Wausau Purpose of Disbursement Contribution Candidate Name	WI	54402	011 Category/		
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy					each Disbursement this Period 2000.00
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Disbursem	nent For: 20	016	Category/	Amount of E	
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Disbursement	nent For: 20 Primary	016 General	Category/		
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Disbursement	nent For: 20	016 General	Category/	Amount of E	
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Disbursement Senate President Senate President Senate S	nent For: 20 Primary	016 General	Category/	Amount of E	
c .	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07	nent For: 20 Primary	016 General	Category/	Amount of E	2000.00
<u> </u>	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress	nent For: 20 Primary	016 General	Category/	Amount of E Contribution Date of Disb	2000.00 Dursement
<u> </u>	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial)	nent For: 20 Primary	016 General	Category/	Amount of E Contribution Date of Disb	2000.00
c.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City	nent For: 20 Primary Other (specif	016 General	Category/	Amount of E Contribution Date of Disb	2000.00 pursement 21 / Y Y Y Y Y Y Y Y Y 2015
C .	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City S Oakland	nent For: 20 Primary Other (specif	016 ☐ General fy) ▼	Category/	Amount of E Contribution Date of Disb	2000.00 Dursement
с.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City	nent For: 20 Primary Other (specif	D16 ☐ General fy) ▼ Zip Code	Category/	Amount of E Contribution Date of Disb 10 Transaction	2000.00 Dursement 21 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
c.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Oakland Purpose of Disbursement	nent For: 20 Primary Other (specif	D16 ☐ General fy) ▼ Zip Code	Category/ Type	Amount of E Contribution Date of Disb 10 Transaction	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
c.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Sought Senate Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin	nent For: 20 Primary Other (specif	O16 General fy) ▼ Zip Code 04963	Category/ Type	Amount of E Contribution Date of Disb 10 Transaction	2000.00 Dursement 21 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
c.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Soughland Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Disbursement	nent For: 20 Primary Other (specif	D16 General fy) ▼ Zip Code 04963	Category/ Type 011 Category/	Amount of E Contribution Date of Disb 10 Transaction Amount of E	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
C.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Soughland Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate Disbursement Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate	nent For: 20 Primary Other (specificate) ME nent For: 20 Primary	Zip Code 04963 O16 General	Category/ Type 011 Category/	Amount of E Contribution Date of Disb 10 Transaction	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
C.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Soughland Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate Disbursement Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate	nent For: 20 Primary Other (specif	Zip Code 04963 O16 General	Category/ Type 011 Category/	Amount of E Contribution Date of Disb 10 Transaction Amount of E	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
c.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Sought Senate Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate President Senate President Disbursement Contribution Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate President	nent For: 20 Primary Other (specificate) ME nent For: 20 Primary	Zip Code 04963 O16 General	Category/ Type 011 Category/	Amount of E Contribution Date of Disb 10 Transaction Amount of E	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
C.	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: House Senate President State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Sought Senate Purpose of Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate President Senate President Disbursement Contribution Disbursement Contribution Candidate Name Rep. Bruce Poliquin Office Sought: House Senate President	nent For: 20 Primary Other (specif	O16	Category/ Type 011 Category/ Type	Amount of E Contribution Date of Disb 10 Transaction Amount of E	bursement 21 / 2015 on ID: 22748616 Each Disbursement this Period
	Wausau Purpose of Disbursement Contribution Candidate Name Rep. Sean P. Duffy Office Sought: State: WI District: 07 Full Name (Last, First, Middle Initial) Poliquin For Congress Mailing Address PO Box 50 City Sought: Candidate Name Rep. Bruce Poliquin Office Sought: House Senate President State: ME District: 02	nent For: 20 Primary Other (specificate) ME Dent For: 20 Primary Other (specificate)	O16 General fy) ▼ Zip Code 04963 O16 General fy) ▼	Category/ Type 011 Category/ Type	Amount of E Contribution Date of Disb 10 Transaction Amount of E	pursement 21 / Y Y Y Y Y 2015 on ID: 22748616 Each Disbursement this Period 1000.00

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 31 OF 34
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)
	Detailed Summary Page	21b	22 X 23 24 25 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	larkets Association P	Political Act	tion Committee
Full Name (Last, First, Middle Initial)			
A. Bill Foster For Congress Committee	е		Date of Disbursement
Mailing Address P.O. Box 9104			10 21 2015
City	State Zip Code		Tana antique ID 00740047
Aurora	IL 60598		Transaction ID: 22748617
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name	I	Catagony	
Rep. Bill Foster		Category/ Type	1000.00
Senate	nent For: 2016 Primary General Other (specify)		Contribution
State: IL District: 14			
Full Name (Last, First, Middle Initial)			
B. Huizenga For Congress			Date of Disbursement
Mailing Address 441 Williams Court			10 21 2015
City S Zeeland	State Zip Code MI 49464		Transaction ID : 22748633
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name			Amount of Each disbursement this Feriod
Rep. Bill Huizenga		Category/ Type	1500.00
Office Sought: House Disbursen Senate	nent For: 2016 Primary General Other (specify)	71	Contribution
State: MI District: 02			
Full Name (Last, First, Middle Initial) C. People For Ben			Date of Disbursement
Mailing Address PO Box 31129			10 21 2015
City	State Zip Code		
	NM 87594		Transaction ID: 22748634
Purpose of Disbursement Contribution		044	
Candidate Name		011	Amount of Each Disbursement this Period
Rep. Ben Lujan Jr.		Category/ Type	1000.00
	nent For: 2016	.,,,,,	
	Primary General Other (specify) ▼		Contribution
State: NM District: 03			
SUBTOTAL of Disbursements This Page (optional)			3500.00
TOTAL This Period (last page this line number only)			

•	1	, FOR LINE	NUMBER: PAGE 32 OF 34
TEMIZED DISBURSEMENTS	Use separate schedule(for each category of the	(official offiny	•
	Detailed Summary Page		22 X 23 24 25 26 28a 28b 28c 29 30b
Anninformation assist from such Departs and Clate			
Any information copied from such Reports and State or for commercial purposes, other than using the nar			
NAME OF COMMITTEE (In Full)	71		
Securities Industry and Financial N	Aarkets Associatio	n Political Ac	tion Committee
/	viarrioto / tooootatio		
Full Name (Last, First, Middle Initial)			
A. Friends Of Mia Love			Date of Disbursement
Mailing Address PO Box 255			10 21 2015
Mailing Address FO Box 255			10 21 2013
City	State Zip Code		Transaction ID - 22740025
Riverton	UT 84065		Transaction ID: 22748635
Purpose of Disbursement Contribution		044	Assessed of Foods Biological and this Books d
Candidate Name		011	Amount of Each Disbursement this Period
Mia Love		Category/ Type	1000.00
	ment For: 2016	Туре	
Senate	Primary General		Contribution
President	Other (specify) ▼		
State: UT District: 04			
Full Name (Last, First, Middle Initial)			
B. Ryan for Congress			Date of Disbursement
Mailing Address Po Box 1919			10 21 2015
Mailing Address Po Box 1919			10 21 2013
City	State Zip Code		Transaction ID : 22748636
Jonesville	WI 53547		11d11Saction 1D . 22/40030
Purpose of Disbursement		044	Amount of Fook Dickurson and this Deviced
Contribution		011	Amount of Each Disbursement this Period
Contribution Candidate Name			
Candidate Name		Category/	1000.00
Candidate Name Rep. Paul Ryan	ment For: 2016	Category/ Type	1000.00
Candidate Name Rep. Paul Ryan Office Sought: House Disburse	ment For: 2016 Primary General	Type	
Candidate Name Rep. Paul Ryan Office Sought: House Disburse		Type	1000.00 Contribution
Candidate Name Rep. Paul Ryan Office Sought: House Disburse Senate X	Primary General	Type	
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial)	Primary General	Type	Contribution
Candidate Name Rep. Paul Ryan Office Sought: House Disburse Senate President State: WI District: 01	Primary General	Type	Contribution Date of Disbursement
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC	Primary General	Type	Contribution Date of Disbursement
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial)	Primary General	Type	Contribution Date of Disbursement
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC	Primary General	Type	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville	Primary ☐ General Other (specify) ▼	Type	Contribution Date of Disbursement
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City	Primary General Other (specify) ▼ State Zip Code	Type	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution	Primary General Other (specify) ▼ State Zip Code	Type O11	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name	Primary General Other (specify) ▼ State Zip Code	Type 011 Category/	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC	Primary General Other (specify) ▼ State Zip Code	Type O11	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC	Primary General Other (specify) ▼ State Zip Code NY 11747	Type O11 Category/ Type	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC Office Sought: House Senate President Disburse	Primary General Other (specify) ▼ State Zip Code NY 11747 ment For:	Type O11 Category/ Type	Contribution Date of Disbursement 10 21 2015 Transaction ID: 22748638 Amount of Each Disbursement this Period
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC Office Sought: House Senate Disburse	Other (specify) State Zip Code NY 11747 ment For: Primary General	Type O11 Category/ Type	Contribution Date of Disbursement 10 21 2015 Transaction ID: 22748638 Amount of Each Disbursement this Period
Candidate Name Rep. Paul Ryan Office Sought: State: WI District: 01 Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC Office Sought: House Senate President State: District:	Other (specify) State Zip Code NY 11747 ment For: Primary General Other (specify) Other (specify)	O11 Category/ Type	Contribution Date of Disbursement 10 21 2015 Transaction ID: 22748638 Amount of Each Disbursement this Period 1000.00 Contribution
Candidate Name Rep. Paul Ryan Office Sought: Senate President State: WI Full Name (Last, First, Middle Initial) C. NY Jobs PAC Mailing Address P.O. Box 708 City Melville Purpose of Disbursement Contribution Candidate Name NY Jobs PAC Office Sought: House Senate President Disburse	Other (specify) State Zip Code NY 11747 ment For: Primary General Other (specify) Other (specify)	O11 Category/ Type	Contribution Date of Disbursement 10 21 2015 Transaction ID: 22748638 Amount of Each Disbursement this Period

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 33 OF 34	_
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		
	Detailed Summary Page	21b	22 X 23 24 25 26	
		27	28a 28b 28c 29 30	<u>Б</u>
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)				
Securities Industry and Financial M	arkets Association F	Political Ac	tion Committee	
Full Name (Last, First, Middle Initial)				
A. Building Americas Republican Rep	resentation PAC (Ba	arr PAC)	Date of Disbursement	
Mailing Address 332 W Lee Highway #303			10 21 2015	
	state Zip Code		Transaction ID: 22748639	
- Traineriteir	VA 20186		······································	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name	510 (5 510)	Category/	2500.00	
Building Americas Republican Representation Office Sought: House Disbursem	` '	Type	2500.00	
Senate President	Primary General Other (specify)		Contribution	
State: District:				
Full Name (Last, First, Middle Initial) B. Jobs and Innovation Matter PAC (J	IM PAC)		Date of Disbursement	
Mailing Address PO BOX 15320			10 21 2015	
,	State Zip Code DC 20003		Transaction ID: 22748640	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/		
Jobs and Innovation Matter PAC (J	IM PAC)	Type	1000.00	
	nent For: Primary General Other (specify)		Contribution	
Full Name (Last, First, Middle Initial)				_
C. Friends Of Bill Posey			Date of Disbursement	
Mailing Address P. O. Box 360877			10 28 2015	
City	state Zip Code		T .: ID	_
	FL 32936		Transaction ID: 22757059	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	Amount of Each Disbursement this Feriou	
Rep. Bill Posey		Type	1000.00	
Senate	nent For: 2016 Primary General Other (specify)		Contribution	
State: FL District: 15	<u> </u>			
SUBTOTAL of Disbursements This Page (optional)			4500.00	
TOTAL This Period (last page this line number only).				

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 34 OF 34
ITEMIZED DISBURSEMENTS	Use separate schedule(si for each category of the Detailed Summary Page	(check only 21b 27	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial M	larkets Association	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			Data of Dialousassant
A. Blaine For Congress			Date of Disbursement
Mailing Address PO Box 125			10 28 2015
	State Zip Code		Transaction ID : 22757065
Holts Summit Purpose of Disbursement	MO 65043		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Blaine Luetkemeyer Office Sought:	nent For: 2016	Туре	1000.00
Senate President	Primary General Other (specify) ▼		Contribution
State: MO District: 09			
Full Name (Last, First, Middle Initial) B. Tiberi For Congress			Date of Disbursement
Mailing Address 2931 E Dublin Granville Road Suite 190			10 28 7 2015
Columbus	State Zip Code OH 43231		Transaction ID : 22757076
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Patrick J. Tiberi		Type	1000.00
Senate	nent For: 2016 Primary General Other (specify)		Contribution
Full Name (Last, First, Middle Initial)			B (B) .
C.			Date of Disbursement
Mailing Address			
City	State Zip Code		
Purpose of Disbursement			
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
	nent For: Primary General Other (specify)	77.	
2.55.			
SUBTOTAL of Disbursements This Page (optional)		·····•	2000.00
TOTAL This Period (last page this line number only)			34000.00